



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of

Lerner

Atty. Ref.: 4230-4

Serial No. 10/050,183

Group: 1615

Filed: January 18, 2002

Examiner: Bennett

For: METHOD AND DEVICE FOR ENHANCED DELIVERY  
OF A BIOLOGICALLY ACTIVE AGENT THROUGH  
THE SPINAL SPACES INTO THE CENTRAL  
NERVOUS SYSTEM OF A MAMMAL

\* \* \* \* \*

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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AND EXCLUSIVE PROSECUTION HEREAFTER BY  
ASSIGNEE UNDER 37 C.F.R. §§ 1.36, 3.71 AND 3.73**

Intrabrain International NV, being the owner of all right, title and interest in the above-identified patent application, hereby revokes all previous powers of attorney in this case, if any, and hereby appoints the attorneys of Customer Number 23117 individually and collectively its attorneys to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith, and with the resulting patent.

Intrabrain International NV also authorizes Nixon & Vanderhye to act and rely solely on instructions communicated from the person, attorney, firm or other organization sending instructions to Nixon & Vanderhye on behalf of the owner.

**Certificate Under 37 C.F.R. §3.73(b)**

Intrabrain International NV, a corporation having a place of business at Pietermaai 15, Curacao, Netherland Antilles states that it is the assignee of the entire right, title and

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interest in the patent application identified above by virtue of an assignment from the inventors to the aforesaid assignee, a copy of the assignment being attached.

The undersigned (whose title is typed below) is empowered to sign this statement on behalf of Intrabrain International NV.

Intrabrain International NV

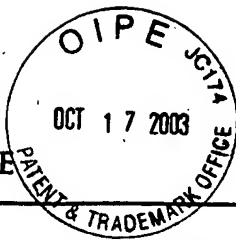
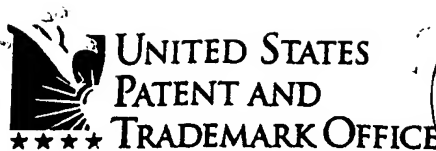
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Date

By:

Name:  
Title:

Eduard N. Lerner  
President



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RECORDATION DATE: 01/18/2002

REEL/FRAME: 012507/0860  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

LERNER, EDUARD N. ✓

DOC DATE: 01/16/2002 ✓

ASSIGNEE:

INTRABRAIN INTERNATIONAL NV  
PIETERMAAI 15  
CURACAO, NETHERLD ANTILLES ✓

SERIAL NUMBER: 10050183 ✓  
PATENT NUMBER:

FILING DATE: 01/18/2002 ✓  
ISSUE DATE:

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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

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To the Honorable Commissioner for Patents: P.L.

or copy thereof.

## 1. Name of conveying party(ies):

Eduard N. Lerner  
A.J. Ernststraat 171  
1083 GT Amsterdam  
Netherlands

## 2. Name and address of receiving party(ies)

Name: Intrabrain International NV

Internal Address: \_\_\_\_\_

Street Address: Pietermaai 15City: Curacao State: Netherlands Antilles ZAdditional name(s) & address(es) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☒ Yes ☐ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: \_\_\_\_\_

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: January 16, 2002

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jerry Cohen, Esq.Internal Address: Perkins, Smith & Cohen, LLPStreet Address: One Beacon StreetCity: Boston State: MA ZIP: 02108Additional name(s) & address(es) attached? ☐ Yes ☒ No6. Total number of applications and patents involved: 17. Total fee (37 CFR § 3.41)..... \$40☒ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

03-2410, Order No. 10.087-4

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jerry Cohen, Reg. No. 20.522

Name of Person Signing

Signature

January 17, 2002

Date

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## **ASSIGNMENT**

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged I, the undersigned

**Eduard N. Lerner**

hereby sell, assign and transfer to Intrabrain International NV, a Netherlands Antilles Co. having a place of business at Pietermaai 15, Curacao, Netherlands Antilles, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for united States Letters Patent executed of even date herewith and entitled:

### **METHOD AND DEVICE FOR ENHANCED DELIVERY OF A BIOLOGICALLY ACTIVE AGENT THROUGH THE SPINAL SPACES INTO THE CENTRAL NERVOUS SYSTEM OF A MAMMAL**

and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of the said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

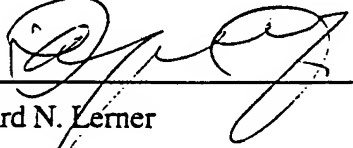
Agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, agree to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions; execute all rightful oaths, assignments, power of attorney and other papers, communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said inventions and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all

patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

Hereby request the Honorable Commissioner of Patents to issue said Letters Patent to said Assignee; and,

Covenant with said Assignee, its successors, assigns, and legal representatives that no assignment, grant mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned and that full right to convey the same as herein expressed is possess by the undersigned.

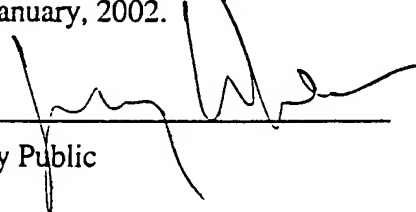
Dated: January 16, 2002

  
\_\_\_\_\_  
Eduard N. Lerner

COMMONWEALTH OF MASSACHUSETTS

COUNTY OF Suffolk : ss.

Subscribed to and sworn before me this 16 day of January, 2002.

  
\_\_\_\_\_  
Notary Public

(SEAL)